

FORM PTO-1449 (modified)
To: U.S. Department of Commerce
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Attorney Docket No.

Client Ref.

05 Rec'd PCT/PTO 01 OCT 2004

056291-5183

100690-1P US

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Applicant: STOKES et al.

Appln. No.: To Be Assigned

Filing Date: Concurrently

Date: October 1, 2004

Page 1 of 1

Examiner: Unassigned

Group Art Unit: Unassigned

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
VB	AR 3,974,172 A	08/10/76	Sahm Wilfried et al.			
VB	BR 3,931,215 A	01/06/76	Horn Anton et al.			
	CR					
	DR					
	ER					
	FR					

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor/Applicant Name	English Abstract		Translation Readily Available	
					Enclosed	No	Enclosed	No
VB	GR 01/38322 A	05/2001	WIPO	Methylgene, Inc.				
M	HR 03/024448 A	03/2003	WIPO	Methylgene, Inc.				
M	IR 07/74791 A	10/2001	WIPO	Shigenaga et al.				
M	JR 1273575 A	01/2003	EP	Shigenaga et al.				
M	KR 2000302765 A	10/2000	Japan	Yamanouchi Pharmaceutical Co., Ltd., Japan				
M	LR 5239069	09/1993	Japan	Canon KK, Japan				
	MR							

OTHER (Including in this order: Author, Title, Periodical Name, Date, Pertinent Pages, etc.):

VB	NR	Koshio, Hiroyuki et al., "Preparation of phenyldiazepane derivatives or salt thereof having anticoagulant activity", Chemical Abstract, STN Database, Accession No. 133:321906, XP002248767, CAS RN 303134-06-7, 303134-17-0, 303134-59-0 (disclaiming compounds) & JP 2000 302765 A (Yamanouchi Pharmaceutical Co., Ltd., Japan), 10/31/2000						
M	OR	Yamada, Yoko et al., "Preparation of benzothiazole and benzoxazole derivatives and analogs as liquid crystals", Chemical Abstract, STN Database, Accession No. 121:122228, XP002248768, CAS RN 156932-97-7, abstract, & JP 05 239069 A (Canon KK, Japan), September 17, 1993						
	PR							
	QR							
	RR							
	SR							
	TR							
	UR							

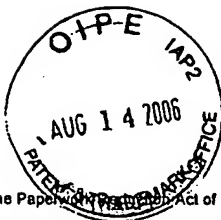
Examiner

VBalesubramanian

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8/31/07

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10509941
	Filing Date		2004-10-01
	First Named Inventor	Elaine Sophie Elizabeth Stokes	
	Art Unit	1624	
	Examiner Name	Johnson, Jason H	
	Attorney Docket Number	100690-1P US	

U.S. PATENTS								
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear		
YB	1	3974172		1976-08-10	Sahm, et al.			
M	2	3931215		1976-01-06	Horn, et al.			
M	3	6174905	B1	2001-01-16	Suzuki, et al.			
If you wish to add additional U.S. Patent citation information please click the Add button.								
U.S. PATENT APPLICATION PUBLICATIONS								
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear		
M	1	20040087631	A1	2004-05-06	Bacopoulos, et al.			
If you wish to add additional U.S. Published Application citation information please click the Add button.								
FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
M	1	0847992	EP	A1	1998-06-17	Mitsui Chemicals, Inc.		<input type="checkbox"/>

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VD	2	03/075839	WO	A2	2003-09-18	Aton Pharma, Inc.	<input type="checkbox"/>
	3	03/075856	WO	A2	2003-09-18	University of Delaware	<input type="checkbox"/>
	4	03/076400	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.	<input type="checkbox"/>
	5	03/076401	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.	<input type="checkbox"/>
	6	03/076430	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.	<input type="checkbox"/>
	7	03/076421	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.	<input type="checkbox"/>
	8	03/076422	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.	<input type="checkbox"/>
	9	03/076438	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.	<input type="checkbox"/>
	10	01/38322	WO	A1	2001-05-31	Methylgene, Inc.	<input type="checkbox"/>
	11	03/024448	WO	A2	2003-03-27	Methylgene, Inc.	<input type="checkbox"/>
VB	12	01/74791	WO	A1	2001-10-11	Yamanouchi Pharmaceutical Co., LTD	<input checked="" type="checkbox"/>



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VB	13	03/076395	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.	<input type="checkbox"/>
	14	03/075929	WO	A1	2003-09-18	Janssen Pharmaceutica N.V.	<input type="checkbox"/>
	15	11-269140	JP	A	1999-10-05	Mitsui Chem, Inc.	<input checked="" type="checkbox"/>
	16	10-152462	JP	A	1998-06-09	Mitsui Chem, Inc.	<input checked="" type="checkbox"/>
	17	11-269146	JP	A	1999-10-05	Mitsui Chem, Inc.	<input checked="" type="checkbox"/>
	18	11-335375	JP	A	1999-12-07	Mitsui Chem, Inc.	<input checked="" type="checkbox"/>
	19	11-302173	JP	A	1999-11-02	Mitsui Chem, Inc.	<input checked="" type="checkbox"/>
	20	2000-302765	JP	A	2000-10-31	Yamanouchi Pharmaceut. Co. LTD	<input checked="" type="checkbox"/>
	21	2002-161084	JP	A	2002-06-04	Sumitomo Pharmaceut. Co. LTD	<input checked="" type="checkbox"/>
	VB	22	05-239069	JP	A	1993-09-17	Canon, INC
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NON-PATENT LITERATURE DOCUMENTS							

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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
M	1	Yamada et al. Preparation of benzothiazole and Benzoxazole derivatives and analogs as liquid crystals. XP-002248768, 1994:52228 CAPLUS, Abstract	<input type="checkbox"/>
M	2	Koshio, et al. Preparation of phenyldiazepane derivatives or salt thereof having anticoagulant activity. XP-002248767, 2000:765431 CAPLUS, Abstract	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

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Examiner Signature	<i>V. Balasubramanian</i>	Date Considered	8/31/2007
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